

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	

1.				Complaint (2/17/2009)	2/17/2009
2.				OMITTED	
3.				Order Appointing Receiver (2/16/2009)	2/16/2009
4.				Second Amended Order Appointing Receiver	7/19/2010
5.				OMITTED	
6.				OMITTED	
7.				OMITTED	
8.				Order on Second Motion for Summary Judgment	4/25/2013
9.				OMITTED	3/31/2010
10a.				<i>In the Matter of Daniel Bogar, Bernard Young and Jason T. Green - Initial Decision (8/2/13)</i>	8/2/2013
10b.				<i>In the Matter of Bernerd E. Young - Opinion of the Commission (3/24/16)</i>	3/24/2016
11.				Superseding Indictment (Allen Stanford) (5/4/2011)	5/4/2011
12.				Judgment/Conviction (Allen Stanford) (6/4/2012)	6/4/2012
12a.				Verdict (Allen Stanford) (3/6/12)	3/6/2012
12b.				Judgment in a Criminal Case (Allen Stanford) (6/14/12)	6/14/2012
13.				Order of Forfeiture (Allen Stanford) (5/17/2012)	5/17/2012
14.				Plea Agreement (James Davis) (8/27/2009)	8/27/2009
15.				Judgement/Conviction (James Davis) (1/25/2013)	1/25/2013
16.				Plea Agreement (Laura Pendergest-Holt) (6/21/2012)	6/21/2012
17.				Judgment/Conviction (Laura Pendergest-Holt) (9/24/2012)	9/24/2012
18.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

19.				OMITTED	
20.				Letter from SEC to Jane Bates (Stanford Group Company) re examination of Stanford books and records (12/19/2002) (STAN P CAST_0018831-0018842)	12/19/2002
21.				Letter from Chad Warner to SEC forwarding Form D (10/30/1998) (Proskauer Janvey 00003941-00003957)	10/30/1998
22.				Emails between Mauricio Alvarado and Jane Bates (1/13/2003) (CASN00006-00007)	1/13/2003
23.				OMITTED	
24.				Memo from Rebecca Hamrick to Mauricio Alvarado re SIBL US Accredited Investor CD Program (11/10/2004) (Proskauer Janvey 00003880-00003883)	11/10/2004
25.				Letter from Rep Poppell (Stanford) to SEC (11/2/2004) (Proskauer_Janvey_00001736-00001743)	11/2/2004
26.				Letter from Rep Poppell (Stanford) to Gregory Harris and Paul Rash at SEC (5/19/2005) (Proskauer Janvey 00001714-00001720)	5/19/2005
27.				OMITTED	
28.				Stanford Financial Group – SEC Investigation typewritten notes (Proskauer_Janvey_00034117-00034118)	6/9/05-6/10/05
29.				File folder entitled Stanford Financial Group/SEC Investigation/Charles J. Hazlett Documents (Proskauer Janvey 00006314-00006604)	1/28/03-3/23/05
30.				OMITTED	
31.				Letter from Leroy King (Financial Services Regulatory Commission) to Elizabeth Jacobs (SEC) (6/21/2005) (Stan P Cast_0030921-0030922)	6/21/2005
32.				Email from Lena Stinson to Thomas Sjoblom re	6/24/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				“Next Week’s schedule-UPDATED” (6/24/2005) (Proskauer Janvey 00000036)	
33.				Handwritten notes (undated) (Proskauer Janvey 00000039-00000048)	Undated
34.				Typewritten notes with handwritten remarks (Proskauer Janvey_00000174-00000228) (typewritten notes reference 6/29/05-6/30/05, but 1st page includes handwritten edits dated 1/20/2007)	1/20/2007
35.				OMITTED	
36.				Antigua Agenda (Proskauer Janvey_00005501- 00005502)	8/9/2005
37.				Handwritten notes on Chadbourne & Parke LLP letterhead re Antigua trip (8/9/05) (Proskauer Janvey_00000733-00000752) (8/9/2005)	8/9/2005
38.				OMITTED	
39.				List of various Antigua & Barbuda Acts and handwritten notes (Proskauer Janvey_00005340- 00005354)	Undated
40.				FSRC Report of Stanford International Bank Limited (11/4/02) (Proskauer Janvey_00015165- 00015201)	11/4/2002
41.				Draft FSRC Report of Stanford International Bank Limited (3/7/05) (Proskauer Janvey_00005360-00005408)	3/7/2005
42.				1/22/07 Edits to typewritten notes entitled "SIB - Investment Portfolio" (Proskauer Janvey 00000093-00000098)	1/22/2007
43.				Typewritten notes re Stanford International Bank (Proskauer Janvey 00000055-00000063)	Undated
44.				Emails between Benjamin Ogletree and Thomas Sjoblom re Stanford Fin Group-MLAT (Proskauer Janvey 0008848-0008850)	8/9/2005 -8/16/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
45.				Memphis-Houston Agenda (Proskauer Janvey 00007694)	8/24/2005-8/26/2005
46.				Handwritten notes on Chadbourne & Parke LLP letter headed (undated) re Memphis operations (Proskauer Janvey 00000031-00000032)	Undated
47.				8/24/05 typewritten notes re Meeting in Memphis (Proskauer Janvey 00000099-00000112)	8/24/2005
48.				OMITTED	
49.				8/25/05 emails between Kenneth Weitzman, Thomas Sjoblom and Rich Martinelli (Proskauer Janvey 00004741-00004742)	8/25/2005
50.				8/29/05 letter from Jennifer Brandt (SEC) to Thomas Sjoblom (Proskauer_Janvey_00000990-00000996)	8/29/2005
51.				8/30/05 email from Thomas Sjoblom to Mauricio Alvarado re SEC most recent communication, and handwritten (undated) "To Do" list (Proskauer Janvey 00008258-00008259)	8/30/2005
52.				"SEC Letter of August 30, 2005 - notes of conversation with Lean and Jane" (Proskauer Janvey 00008255)	8/30/2005
53.				Handwritten notes re Juan's comments (Proskauer Janvey 00008256-00008257)	Undated
54.				9/2/05 fax from Juan Tolentino to Thomas Sjoblom regarding Section 244 (Proskauer Janvey 00005328-00005331)	9/2/2005
55.				9/7/05 email from Thomas Sjoblom to Jennifer Arnold re firms to look into for purposes of saying SIB is no different than other private international banks (Proskauer Janvey 00008022)	9/7/2005
56.				OMITTED	
57.				Signed 9/12/05 letter to Jennifer Brandt from Thomas Sjoblom re production of documents (Proskauer Janvey 00003267-00003278)	9/12/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
58.				9/12/05 letter from Julie Preuitt (by Gregory Harris) to Jay Comeaux (Stanford Group) w/handwritten notations (Proskauer Janvey 00003259-00003266)	9/12/2005
59.				9/13/05 emails between Peter Ingerman and Thomas Sjoblom regarding Stanford International Bank philosophy (Proskauer Janvey 00005490-00005497)	9/13/2005
60.				9/15/05 Emails between William Greason, Thomas Sjoblom and Peter Ingerman re disclosure statement (Proskauer Janvey 00005743-00005745)	9/15/2005
61.				OMITTED	
62.				9/15/05 email from Jennifer Arnold to Thomas Sjoblom re disclosure of investment holdings (Proskauer Janvey 00004798-00004799)	9/15/2005
63.				Typewritten attorney notes re T/C w/Stanford, Mauricio, Yolanda (Proskauer Janvey 00003683-00003684)	Undated
64.				OMITTED	
65.				OMITTED	
66.				OMITTED	
67.				OMITTED	
68.				9/21/05 fax from Mauricio Alvarado to Thomas Sjoblom forwarding disclosure statement (Proskauer Janvey 000000923-000000946)	9/21/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
69.				OMITTED	
70.				OMITTED	
71.				10/3/05 letter from Thomas Sjoblom to Gregory Harris responding to SEC's 9/12/05 letter (Proskauer Janvey 000000798-000000882)	10/3/2005
72.				10/3/05 typewritten notes re SEC Presentation, with Conditions of Presentation (Proskauer Janvey 00000654-00000718)	10/3/2005
73.				Draft SEC Presentation outline, including Conditions of Presentation (not on record; attorney proffer; not a waiver of confidentiality or privileged information of SIB or otherwise part of the banking records of FSRC) (Proskauer Janvey 00015522-00015617)	Fall 2005 / Spring 2006
74.				Folder entitled Stanford Financial / General / Recruiting, containing 12/15/05 emails between Tim White, Lori Bensing and Eddie Rollins re Nick Campbell(Proskauer_Janvey_00011086-00011090)	12/15/2005
75.				Stanford Financial Group Holdings, Inc. Revenue and Asset Projections chart 2005-2008 (Proskauer Janvey 00015451)	May-05
76.				1/26/06 email from Thomas Sjoblom to Mauricio Alvarado providing edits to draft letter to Stacy Hagar (Proskauer Janvey 00002022-00002026)	1/26/2006
77.				Draft 1/27/06 letter to Stacy Hagar (NASD) (Proskauer Janvey 00002036-00002041)	1/27/2006
78.				2/3/06, 2/16/06 emails between Thomas Sjoblom and Lena Stinson re SIBL 20-year booklet and Reg D question (CASN00012)	2/3/2006 - 2/16/2006
79.				Folder Entitled Stanford Financial Group-66955.001 / SEC Investigation / Audit Letters, containing 2006 audit request letter, correspondence re draft response, and audit response letter (Proskauer_Janvey_00009894-9923)	2/22/2006 - 2/24/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
80.				2/24/06 email from Thomas Sjoblom to Mauricio Alvarado regarding call with Kerry Jackson (BDO Seidman) re audit letter (Proskauer Janvey 00009917)	2/24/2006
81.				OMITTED	
82.				OMITTED	
83.				OMITTED	
84.				3/31/06 and 3/22/06 emails between Thomas Sjoblom, Rebecca Hamric re NASD response (STAN P CAST 0025125-0025135)	3/22/2006 - 3/31/2006
85.				3/31/06 emails between Thomas Sjoblom, Lena Stinson and Rebecca Hamric re Second Draft of Stanford Response letter (STAN P CAST 0025102-0025119)	3/31/2006
86.				OMITTED	
87.				6/13/06 Jacqueline Perrell letter to Lena Stinson re memo addressing NASD's current rules and proposed rule change re non-cash compensation and sales contests. (Proskauer_Janvey_00001662-00001671)	6/13/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
88.				Folder entitled Stanford Financial Group Company-66955.001 / SEC Investigation / SGC Capital, containing 7/21/06 email from AJ Rincon to James Davis re SGC Capital, 7/27/06 email from Sophia Tejada to Danny Bogar, Rep Poppell, Jane Bates, Bernard Young re response letter to NASD (Proskauer_Janvey_00008559- 8567)	7/21/2006 - 7/27/2006
89.				7/26/06 memo from Thomas Sjoblom to Talbert Navia and Scott Balber re status of Stanford Financial Group Matters (Proskauer Janvey 00015943)	7/26/2006
90.				9/6/06 letter from Thomas Sjoblom to Mauricio Alvarado re continued representation regarding SEC investigation of Stanford Financial Group Affiliates (Proskauer_Janvey_00021562-00021566)	9/6/2006
91.				OMITTED	
92.				Typewritten notes with handwritten notations re call from Jenny Brandt; email from Thomas Sjoblom to Mauricio Alvarado regarding same (Proskauer Janvey 00014562-00014563)	9/6/2006
93.				Handwritten notes re Antigua (Proskauer Janvey 00000728-00000732)	9/11/2006
94.				Handwritten notes re Stanford Financial Group (undated) (Proskauer_Janvey_00000015-00000030)	Undated
95.				Handwritten notes re SEC, Michael Moore, Elizabeth Jacobs, Ponzi scheme, etc. (Proskauer Janvey 00005458-00005460)	Undated
96.				9/18/06 typewritten notes re t/c from SEC staff (Proskauer Janvey 00014564-00014565)	9/18/2006
97.				9/18/06; 2:50 p.m. notes re Stanford Group Company conference call	9/18/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

				(Proskauer_Janvey_00031619-00031620)	
98.				9/19/06 draft letter to Elizabeth Jacobs (SEC) with handwritten notes (Proskauer_Janvey_00008375-00008379)	9/19/2006
99.				9/20/06 email from Mauricio Alvarado to Thomas Sjoblom re revisions to 9/19/06 letter to SEC (Proskauer_Janvey_00008365-00008370)	9/20/2006
100.				9/20/06 certified letter from Thomas Sjoblom to Elizabeth Jacobs regarding SEC investigation (Proskauer_Janvey_00001617-00001622)	9/20/2006
101.				9/27/06 letter to Rep Poppell from Stacy Hagar (NASD) requesting responses to deficiencies (Proskauer_Janvey_00017290-00017292)	9/27/2006
102.				9/29/06 letter from Elizabeth Jacobs (SEC) to Thomas Sjoblom re document request and availability to meet in Antigua (Proskauer_Janvey_00017270-00017274)	9/29/2006
103.				10/3/06 typed notes re t/c with Mauricio, 9/18/06 t/c from SEC staff (Proskauer_Janvey_00000724-00000727)	9/29/2006
104.				10/10/06 email from Mauricio Alvarado to Thomas Sjoblom re fax from SEC as to phone conversation between Thomas Sjoblom, Susan Yahar, John Martin and Elizabeth Jacobs re documents in possession of AFSRC (Proskauer_Janvey_00017282-00017285)	9/18/06 / 10/3/06
105.				10/10/06 typed notes re t/c with SEC staff (Proskauer_Janvey_00001528)	10/10/2006
106.				10/10/06 email from Thomas Sjoblom to Mauricio Alvarado re FSRC & SEC	10/10/2006
107.				10/12/06 email from Mauricio Alvarado to Thomas Sjoblom, Spencer Barasch re NASD response re CD 10-2006 draft (Proskauer_Janvey_00017289, CASN00014-00015, Proskauer_Janvey_00001489-00001499)	10/10/2006
108.				10/12/06 email from Mauricio Alvarado to Thomas Sjoblom re letter to SEC (Fort Worth) re SEC Investigation (Proskauer_Janvey_00017286-00017288)	10/12/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
109.				10/13/06 letter from Thomas Sjoblom to Jennifer Brandt to correct statements and implications contained in staff's last two letters (10/19/06 and 10/10/06 letters) and conversions (Proskauer_Janvey_00001501-00001502)	10/12/2006
110.				OMITTED	
111.				12/5/2006 letter from Jeffrey Cohen (SEC) to Thomas Sjoblom enclosing SEC formal order of private investigation (Proskauer_Janvey_00001363-00001367)	11/28/2006
112.				OMITTED	
113.				11/29/06 letter from Jennifer Brandt (SEC) to Thomas Sjoblom enclosing subpoenas to Stanford, Danny Bogar, A.J. Rincon, Eddie Rollins, Jay Comeaux (Proskauer_Janvey_00001388-00001412)	10/26/2006
114.				12/06/06 emails between Mauricio Alvarado and Thomas Sjoblom re SEC formal order of investigation (Proskauer_Janvey_00017353-00017354; CASN00016-CASN00017)	11/29/2006
115.				12/6/06 typed notes re Stanford Group Company/SEC Investigation/Conference Call re SEC Production (Proskauer_Janvey_00001368-00001369)	12/6/2006
116.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
117.				OMITTED	
118.				OMITTED	
119.				12/21/06 letter from Bernerd Young to Jennifer Brandt re subpoena to Stanford and document production (Proskauer_Janvey_00000784-00000787)	12/19/2006
120.				1/16/07 letter from Thomas Sjoblom to Mauricio Alvarado re fee statement (Proskauer_Janvey_00033777-00033780)	12/21/2006
121.				1/11/07 letter from Glen Rigby (Stanford) to Thomas Sjoblom regarding audit letter request (Proskauer_Janvey_00001278-00001279)	1/16/2007
122.				Folder entitled Stanford Financial Group / SEC Investigation / Financial Consulting and Advisory Services Agreement (Proskauer_Janvey_00009756-00009769; Proskauer_Janvey_00034133-00034139)	1/11/2007
123.				1/1/96 Consulting Agreement between Stanford International Bank and Stanford Group Company (Proskauer_Janvey_00004958-00004962); 5/28/02 Services Agreement between SIBL and Stanford Financial Group Company Proskauer_Janvey_00004794-00004796)	12/1/2004
124.				Folder entitled Stanford Financial Group 66955.001 / SEC Investigation / Consulting Agreements (Proskauer_Janvey_00009882-00009886)	1/1/96 / 5/28/02
125.				Areas of Concern "prepared for Mauricio" (undated)	2/1/1996
126.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
127.				1/23/07 email from Jonathan Hanks to Rebecca Hamric re obstruction of justice memo (Proskauer Janvey 00001260-00001271)	3/4/05 - 6/14/05
128.				1/30/07 letter from Thomas Sjoblom to Jennifer Brandt re limitation on requests for documents in subpoena (Proskauer_Janvey_00011723-00011725)	1/23/2007
129.				2/13/07 correspondence from Craig Dorling (BDO) to Thomas Sjoblom re audit request (Proskauer Janvey 00001242-00001244)	1/30/2007
130.				2/15/07 typed notes re t/c with Lena Stinson (Proskauer Janvey 00011337)	2/13/2007
131.				OMITTED	
132.				OMITTED	
133.				2/23/07 audit response letter from Proskauer to BDO Seidman (Proskauer_Janvey_00011794-00011798)	2/24/2007
134.				OMITTED	
135.				2/28/07 email from Carlos Ancira to Thomas Sjoblom re audit response and SEC investigation (Proskauer Janvey 00009944)	2/26/2007
136.				2/28/07 email from Jacqueline Perrell to Thomas Sjoblom re ABA statement of policy regarding lawyers' responses to auditors' requests (Proskauer Janvey 00009949-00009969)	2/28/2007
137.				OMITTED	
138.				3/22/07 email from Thomas Sjoblom to Mauricio Alvarado re potential billionaire investor (CASN0019)	2/21/2007
139.				4/11/07 email from Thomas Sjoblom to Mauricio Alvarado re SEC testimony dates (CASN0020)	3/22/2007
140.				4/11/07 typed notes re t/c with Lena Stinson/Rebecca Hamric	4/11/2007

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				(Proskauer_Janvey_00000645-00000647)	
141.				4/23/07 Proskauer fee statement (Proskauer_Janvey_00033750-00033756)	4/11/2007
142.				4/12/07 - 5/9/07 emails between Thomas Sjoblom, Kathlee Rocklen, Edward Kwalwasser re structuring offshore distribution agent (Proskauer_Janvey_00001178-00001179)	4/23/2007
143.				6/5/07 letter from Jason Rose (SEC) to Allen Stanford forwarding subpoena (Proskauer_Janvey_00001155-00001166)	4/12/2007 - 5/9/2007
144.				6/8/07 email from Thomas Sjoblom to Mauricio Alvarado re SEC subpoenas (CASN00021)	6/5/2007
145.				Typed notes of 6/11/07 conference call (Proskauer_Janvey_00030757-00030768)	6/8/2007
146.				OMITTED	
147.				6/21/07 letter from Thomas Sjoblom to Jason Rose (SEC) re production of Stanford emails (Proskauer_Janvey_00017554-00017556)	6/20/2007
148.				OMITTED	
149.				7/31/07 email from Jacqueline Perrell to Thomas Sjoblom re emails relating to David Manis (Proskauer_Janvey_00010465)	7/27/2007
150.				Folder entitled Stanford Fin. - SEC Investigation / SEC Investigation / Certificates of deposit - Statistics (Proskauer_Janvey_00005867-00005878)	7/31/2007
151.				Memo from Thomas Sjoblom to Jacqueline Perrell re SEC formal order of investigation (Proskauer_Janvey_00014053-00014056)	Jul-07
152.				8/14/07 typed notes re Stanford - Sales Contests, Stanford Foundation, Investor Fiduciary Services, etc. (Proskauer_Janvey_00000753-00000760)	8/13/2007
153.				OMITTED	
154.				8/31/07 letter from Bernerd Young to Jason Rose	8/17/2007

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				(SEC) re production in response to subpoenas issued by SEC (Proskauer_Janvey_00001053-00001060)	
155.				11/13/07 letter from Rebecca Hamric to SEC re amended Form D notice for Accredited Investor Certificate of Deposit Private Placement Offering (Proskauer_Janvey_00013791-00013799)	8/31/2007
156.				5/29/07 Proskauer fee statement STAN P CAST_0002146-0002149; 0002121-0002137)	11/13/2007
157.				1/3/08 letter from Peter Gonzalez (FINRA) to Rep Poppell re 2007 branch office examination/production of documents (STAN P CAST_0022812-0022813)	5/29/2007
158.				1/9/08 typed notes re Stanford Financial t/cs with Mauricio Alvarado/Juan Rodriguez (Proskauer_Janvey_00000636)	1/3/2008
159.				1/9/08 typed notes re Stanford Financial t/cs with Mauricio Alvarado/Juan Rodriguez (Proskauer_Janvey_00000636)	1/9/2008
160.				1/31/08 letter from Bernerd Young to Peter Gonzalez (FINRA) re request for information (STAN P CAST_0022799-0022802)	1/12/2008
161.				2/8/08 letter from Glen Rigby (Stanford) to Thomas Sjoblom re audit request (Proskauer_Janvey_00001306-00001311)	1/31/2008
162.				OMITTED	
163.				2/14/08 email from Thomas Sjoblom to Jacqueline Perrell re Stanford/BDO audit letter (Proskauer_Janvey_00001302)	1/24/2008 - 2/11/2008
164.				2/14/08 audit response letter from Proskauer Rose to BDO Seidman (Proskauer_Janvey_00001290-00001292)	2/14/2008
165.				7/3/08 email from Mauricio Alvarado to Thomas Sjoblom re Bloomberg article on SEC investigation of Stanford Group Offshore Bank CDs (Proskauer_Janvey_00008636-00008637)	2/14/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
166.				7/3/08 email from Thomas Sjoblom to Mauricio Alvarado re home phone number and SEC investigation (CASN00022)	7/3/2008
167.				7/4/08 email from Thomas Sjoblom to Jacqueline Perrell re Bloomberg article - "bad news" (Proskauer Janvey_00022768-00022770)	7/3/2008
168.				7/4/08 email from Charles Sims to Thomas Sjoblom re statements by employees/Bloomberg article (Proskauer Janvey_00008647-00008649)	7/4/2008
169.				OMITTED	
170.				8/29/08 fax from FINRA to Bernerd Young re Second Request for Information (STAN P CAST_0022791-0022793)	8/22/2008
171.				9/18/08 letter from Office of Financial Regulation to Howard Bernstein re examination of Stanford Group Company/investment in Stanford CDs / 9/22/08 letter from Danny Bogar to "Valued Client" re correspondence from Florida Ofc of Financial Regulation (Proskauer Janvey_00011849-0001185)	8/29/2008
172.				9/25/08 mail from Bernard Young to Mauricio Alvarado, Danny Bogar forwarding copy of 9/24/08 response filed with FINRA (STAN P CAST_0022765-0022772)	9/18/2008
173.				OMITTED	
174.				9/26/08 letter from Federal Reserve System to James Weller (Stanford Trust Company) (Proskauer_Janvey_00017615-00017623); and Elias Barbar (Stanford Trust Company) (CASN00025-00033) enclosing subpoenas	9/25/2008
175.				OMITTED	9/26/2008
176.				9/30/08 typed notes re Stanford International	9/30/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				Bank - Federal Reserve Board Investigation - telephone call from Mauricio Alvarado (Proskauer Janvey_00031643-00031647)	
177.				OMITTED	
178.				OMITTED	
179.				10/3/08 email from Tonal Rauchberg re Stanford Financial investigation (Proskauer Janvey_00017717-00017725)	10/3/2008
180.				OMITTED	
181.				10/10/08 emails between Rebecca Hamric and Jacqueline Perrell re SFG drafts for FRB response (Proskauer_Janvey_00023387-00023397; 00023409)	10/10/2008
182.				Typed notes re 10/14/08 meeting with M. Alvarado and L. Stinson (Proskauer Janvey_00015925-00015928)	10/14/2008
183.				OMITTED	
184.				10/15/08 handwritten notes re Staff of Federal Reserve (Proskauer_Janvey_00013577-00013593)	10/15/2008
185.				10/17/08 memo from Thomas Sjoblom to Jacqueline Perrell re Stanford International Bank Limited - remedies available to Federal Reserve Board (Proskauer_Janvey_00012496-00012501)	10/17/2008
186.				OMITTED	
187.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
188.				OMITTED	
189.				OMITTED	
190.				10/2/08 email from Jacqueline Perrell to Rebecca Hamric re Allen Stanford's verified written statement (unsigned) (Proskauer Janvey 00024613-00023630)	10/2/2008
191.				10/30/08 memo from Thomas Sjoblom to Jacqueline Perrell re activities comprising banking functions (Proskauer Janvey_00031726-00031728)	10/30/2008
192.				OMITTED	
193.				11/6/08 emails between Rebecca Hamric and Jacqueline Perrell regarding verified written statement of Allen Stanford (Proskauer Janvey_00023835-00023852; 00023805-00023809)	11/6/2008
194.				12/12/2008 notes re Stanford Financial Services / Roman Becerra / Larry - GC of NA (Proskauer Janvey 00004954-00004955)	12/12/2008
195.				12/12/08 email from Thomas Sjoblom to Thomas Sjoblom re Stanford Notes re Customer Protection (Proskauer Janvey 00017769)	12/12/2008
196.				12/16/08 letter from Federal Reserve Board to Thomas Sjoblom regarding November 2008 documents received from Stanford pursuant to the Federal Reserve Board's 9/26/08 subpoena	12/16/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

				(Proskauer_Janvey_00012738-00012739)	
197.				12/16/08 email from Thomas Sjoblom to Mauricio Alvarado re Stanford Order of Investigation (Proskauer_Janvey_0017777-0017778)	12/16/2008
198.				12/17/08 draft memo from Thomas Sjoblom to Jacqueline Perrell re Jurisdiction of the Federal Reserve Board over Stanford International Bank Ltd., St. Johns Antigua (Proskauer_Janvey_00012930-00012934)	12/17/2008
199.				OMITTED	
200.				12/19/08 email chain between Jacqueline Perrell, Mauricio Alvarado, Rebecca Hamric re SFG production - FRB (Proskauer_Janvey_00017787-00017815)	12/19/2008
201.				OMITTED	
202.				12/23/08 letter from Thomas Sjoblom to Lisa Villarreal (FRB) regarding document production (Proskauer_Janvey_00017819-00017821)	12/23/2008
203.				12/24/08 letter from Lisa Villarreal (FRB) in response to Thomas Sjoblom's 12/23/08 letter (Proskauer_Janvey_00017834)	12/24/2008
204.				12/29/08 typed notes re Kevin Edmunds Assistant Director/Director of Enforcement - "wants testimony re: how can it be that the CDs are offered at such great rates?" (Proskauer_Janvey_00012736-00012737)	12/29/2008
205.				12/29/08 letter from Michael King (SEC) to Thomas Sjoblom forwarding subpoenas to James Davis, Bernerd Young, O.Y. Goswick (Proskauer_Janvey_00006851-00006861)	12/29/2008
206.				12/30/08 letter from Thomas Sjoblom to Lisa Villarreal (FRB) responding to 12/24/08 letter (Proskauer_Janvey_00017859-00017860)	12/30/2008
207.				12/30/08 letter from Lisa Villarreal (FRB) to	12/30/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	

				Thomas Sjoblom responding to his 12/30/08 letter (Proskauer Janvey 00013328)	
208.				11/28/08 Verified Written Statement of James M. Davis (redlined, but signed)	11/28/2008
209.				11/5/09 email from Thomas Sjoblom to Mauricio Alvarado re telephone call with Bruce Bettigole (former SEC) (Proskauer_Janvey_00018181-00018183)	11/5/2009
210.				1/7/09 typed notes - Telephone conference with Mauricio Alvarado (Proskauer Janvey 00000637)	1/7/2009
211.				1/7/09 email from Thomas Sjoblom to Mauricio Alvarado re A/C Privilege: SEC Subpoenas (Proskauer Janvey 00018206-00018207)	1/7/2009
212.				1/8/09 typed notes - Telephone conference with Lina Stinson (Proskauer_Janvey_00013506); Telephone conference with Mauricio Alvarado re Response to FRB letter (Proskauer Janvey 00013522)	1/8/2009
213.				1/8/09 typed notes - Stanford Financial Group / T/C w/SEC Staff / Kevin Edmundson, Assist Director / Steve Korotash, Assoc Regional Administrator (Proskauer_Janvey_00000633-00000635)	1/8/2009
214.				1/8/09 and 1/9/09 draft memos from Thomas Sjoblom to Jacqueline Perrell re Jurisdiction of the Federal Reserve Board over Stanford International Bank Ltd., St. Johns Antigua (Proskauer_Janvey_00012925-00012929, 00012909-00012914)	1/8/2009 - 1/9/2009
215.				1/12/09 SEC subpoena to Laura Holt (f/k/a Laura Pendergest) (Proskauer_Janvey_00007135-00007137)	1/12/2009
216.				1/12/09 letter from Nick Hartofilis (FINRA) to Scott Chaisson (Stanford Group Company) re Request for Access to Records and Information (Proskauer Janvey 00002197-00002202)	1/12/2009
217.				1/12/09 email from Mauricio Alvarado to Thomas Sjoblom re FINRA Head Examiners in DC (Proskauer Janvey 00002372)	1/12/2009
218.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

219.				Typed notes re 1/13/09-1/16/09 Travel to Memphis / FINRA raid on 1/12 (Proskauer_Janvey_00000555; (Proskauer_Janvey_00034149)	1/13/2009 - 1/16/2009
220.				1/13/09 correspondence from Judy Peters (FINRA) to Thomas Sjoblom re Stanford Group Company/Second Request for Cooperation with Branch Office Inspections (Proskauer_Janvey_00012618-00012623)	1/13/2009
221.				1/13/09 email between Jeannie Jans (FINRA) and Thomas Sjoblom re Stanford 3rd request for cooperation (Proskauer_Janvey_00012615-00012617)	1/13/2009
222.				OMITTED	
223.				OMITTED	
224.				OMITTED	
225.				1/13/09 letter from Danny Bogar and Bernerd Young to Virginia Jans (FINRA) re 8210 request letters (Proskauer_Janvey_00002280-00002281)	1/13/2009
226.				1/14/09 letter from Kevin Edmundson (SEC) to Thomas Sjoblom re subpoenas issued to James Davis, Laura Holt, Bernerd Young and O.Y. Goswick (Proskauer_Janvey_00018428-00018437)	1/14/2009
227.				1/14/09 handwritten notes re FINRA examination (Proskauer_Janvey_00002403-00002429)	1/14/2009
228.				2/10/09 testimony of Laura Pendergest-Holt at SEC hearing	2/10/2009
229.				Typed notes - T/C w/Mauricio Alvarado / February 11, 2009 / Late Morning re	2/11/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				unacceptable behavior (Proskauer Janvey 00000585-00000587)	
230.				Typed notes - SEC Interview of Bernie Young - Jan 15, 2009 (Proskauer_Janvey_00015618-00015620)	1/15/2009
231.				1/16/09 letter from Thomas Sjoblom to Andrew Favret (FINRA) re FINRA Routine Examination of Stanford Group Company / objections to processes used by FINRA at Stanford locations (Proskauer Janvey 00002277-00002279)	1/16/2009
232.				1/16/09 email from Thomas Sjoblom to Mauricio Alvarado re opinion letter on investment company (Proskauer Janvey 00018381)	1/16/2009
233.				1/17/09 memo from Thomas Sjoblom to Mauricio Alvarado re Stanford Financial Group Regulatory Examinations/Investigations (Proskauer_Janvey_00014186-00014189)	1/17/2009
234.				1/14/09 handwritten notes re SEC / Danny Bogar / SEC People (Proskauer_Janvey_00001903-00001946)	1/14/2009
235.				1/14/09 letter from Kevin Edmundson to Thomas Sjoblom regarding subpoenas to James Davis, SIBL, Bernerd Young and O.Y. Goswick (Proskauer Janvey 00000274)	1/14/2009
236.				1/19/09 email from Thomas Sjoblom to Mauricio Alvarado re 1/14/09 draft response re jurisdiction (Proskauer Janvey 00018411)	1/19/2009
237.				1/19/09 from Araceli Martinez (Stanford Eagle) to Thomas Sjoblom forwarding legal opinion re exemption from Investment Company Act of 1940 (Proskauer Janvey 00009118-00009122)	1/19/2009
238.				1/19/09 email chain between Lena Stinson to Thomas Sjoblom re Jan 14 2009 draft response to FRB re jurisdiction (Proskauer Janvey 00018438-00018440)	1/19/2009
239.				1/19/09 letter from Thomas Sjoblom to Lisa Villarreal (FRB) re response to FRB's 12/30/08 letter regarding document production outside the United States (Proskauer_Janvey_00018566-00018568)	1/19/2009
240.				1/20/09 letter from Tom Keltner (SEC) to Allen	1/20/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

				Stanford re SEC subpoena for testimony on 2/6/09 (Proskauer Janvey 00029684-00029692)	
241.				Typed notes re Meeting at Stanford Aviation / Miami, Florida / Wednesday January 21, 2009 - Planning session about SEC investigation (STAN P CAST 0002792)	1/21/2009
242.				OMITTED	
243.				Typed notes re Breakfast meeting with SEC Staff / Houston, Texas / Kevin Edmundson, Mike King, Tom Keltner / 1/21/09 (Proskauer Janvey 00015936)	1/21/2009
244.				Typed notes - SEC Examiners / Interviews / Houston, Tex / January 22 and 23, 2006 [sic] re date learned about Tier 3 (STAN P CAST 0002791)	1/22/2009 - 1/23/2009
245.				1/22/09 Handwritten notes re Jay Comeaux - SGC (Proskauer Janvey 00001947-00001983)	1/22/2009
246.				1/22/09 handwritten notes re "Org Chart" (STAN P CAST 0004729-0004744)	1/22/2009
247.				OMITTED	
248.				Folder entitled Stanford Financial Group Company 66955.001 / SEC Investigation / Witness / Tidwell and Rawl (Proskauer Janvey 00006619-00006646)	1/23/2009
249.				1/23/09 handwritten notes re SEC Presentation - Meeting with K. Edmundson / Houston, TX (Proskauer Janvey 00000624-00000630)	1/23/2009
250.				1/24/09 email chain between Thomas Sjoblom, Wanda Irving, Mauricio Alvarado re Status of SEC Matter (Proskauer Janvey 00000532-00000534)	1/24/2009
251.				1/26/09 emails between Thomas Sjoblom, Juan Rodriguez-Tolentino and Mauricio Alvarado re SEC presentation (Proskauer Janvey 00018787-00018804)	1/26/2009
252.				1/26/09 email from Lena Stinson to Thomas Sjoblom re SIB Coverage Info (Proskauer Janvey 00018889-00019339)	1/26/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
253.				11/26/08 - 1/28/09 emails between Barbara Fortin, Lena Stinson, Rebecca Hamric, Thomas Sjoblom and Wanda Irving to DC Pitney re SIB Coverage Info (Proskauer_Janvey_00004167-00004169)	11/26/2008-1/28/2009
254.				Typed notes - Telephone call from Pat Crane / Attorney / January 26, 2009 / 214-758-1048 re SEC Subpoena on Michael Zarich (Proskauer_Janvey_00000560)	1/26/2009
255.				Typed notes - T/C w/Jim Davis / 901-490-3490 / January 26, 2009 re: Tier 3 (STAN P CAST 0002796)	1/26/2009
256.				1/27/09 emails between Laura Holt, Thomas Sjoblom Juan Rodriguez-Tolentino re Topics to Cover w/SEC Staff (Proskauer_Janvey_00019366-00019368)	1/27/2009
257.				1/27/09 letter from Kevin Edmundson (SEC) to Thomas Sjoblom re agreements reached re subpoenas to Davis, Holt and Stanford (Proskauer_Janvey_00019380)	1/27/2009
258.				1/27-1/28/09 emails between Thomas Sjoblom, Mauricio Alvarado re 1/27/09 fax from SEC re agreement (Proskauer_Janvey_00019385-00019386)	1/27/2009 - 1/28/2009
259.				1/26/09 - 1/28/09 emails between Thomas Sjoblom, Mauricio Alvarado, Lena Stinson, Rebecca Hamric re FINRA "Exam" (Proskauer_Janvey_00002499-00002504)	1/26/2009 - 1/28/2009
260.				1/28/09 email from Jacqueline Perrell to Thomas Sjoblom re Antigua IBC Act (Proskauer_Janvey_00019387-00019406)	1/28/2009
261.				1/28/09 email from Thomas Sjoblom to Juan Rodriguez-Tolentino re Records of Bank Assets Under IBC Act (CASN00050)	1/28/2009
262.				1/28/09 email from Jacqueline Perrell to Thomas Sjoblom re IBC Act (Proskauer_Janvey_00025709)	1/28/2009
263.				1/29/09 email from Thomas Sjoblom to Mauricio Alvarado re Stanford - Response Lt to SEC 1-28-09 (Proskauer_Janvey_00001995-00001998)	1/29/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
264.				1/29/09 emails between Thomas Sjoblom and Lena Stinson re Opinion of Caribbean Counsel re Bank Assets (Proskauer_Janvey_00019656-00019657)	1/29/2009
265.				OMITTED	
266.				1/29/09 fax from Lena Stinson to Jacqueline Perrell forwarding "Confidentiality" language / Antiguya and Barbuda statutes (Proskauer Janvey 00025931-00025936)	1/29/2009
267.				1/29/09 email from Thomas Sjoblom to Mauricio Alvarado re Confirmation of Money/Assets at Portfolio Managers (Proskauer Janvey 00019654-00019655)	1/29/2009
268.				Attorney Notes - 1/29/09 telephone call with Lena Stinson re SEC subpoenas to Zach Parish and Mark Stys (Proskauer_Janvey_00011260-00011261)	1/29/2009
269.				OMITTED	
270.				Draft 1/30/09 letter from Thomas Sjoblom to Kevin Edmundson (SEC) in response to SEC's 1/27/09 letter (Proskauer_Janvey_00019663-00015912)	1/30/2009
271.				OMITTED	
272.				2/2/09-2/4/09 emails from Jacqueline Perrell to Thomas Sjoblom re Section 307 of SOX (Proskauer_Janvey_00028170, 00020041, STAN P CAST_0002213-0002220)	2/2/2009 - 2/4/2009
273.				1/30/09 email from Thomas Sjoblom to Juan Rodriguez-Tolentino re Response Letter to SEC (CASN00056)	1/30/2009
274.				2/3/09 email from Jacqueline Perrell to Thomas Sjoblom re Telephone call with Federal Reserve (Proskauer Janvey 00028213)	2/3/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
275.				2/3/09 letter from Thomas Sjoblom to Kevin Edmundson (SEC) responding to SEC's 1/23/09 letter (Proskauer Janvey_00011691-00011693)	2/3/2009
276.				2/4/09 email from Kevin Edmundson to Thomas Sjoblom re Thomas Sjoblom's 2/3/09 letter / testimony of Juan Rodriguez-Tolentino and Laura Holt (Proskauer Janvey_00020044)	2/4/2009
277.				OMITTED	
278.				2/5/09 email from Wanda Irving to Thomas Sjoblom and Mauricio Alvarado re Seaboard Release (Proskauer Janvey_00020045-00020068)	2/5/2009
279.				2/5/09 email from Jacqueline Perrell to Thomas Sjoblom requesting he ask Juan about Antiguan law prohibiting disclosure of bank information (Proskauer Janvey_00028407)	2/5/2009
280.				Folder entitled Stanford Financial Group 66955.001 / SIBL / Bank Portfolio / Tier 3 (Proskauer Janvey_00005961-00005970)	2/6/2009
281.				Typed notes - Group Meeting with Stanford People - Thursday Feb 5th / Stanford Offices / Miami, Florida (Proskauer Janvey_00000563-00000564)	2/5/2009
282.				Handwritten notes - 2/5/09 Attorney Notes - A/C Privileged - Stanford Meeting Miami (Proskauer Janvey_00005974-00005982)	2/5/2009
283.				Handwritten notes - 2/5/09 - SEC Interview (Proskauer Janvey_00002891-00002917)	2/5/2009
284.				2/6/09 emails between Thomas Sjoblom and Jacqueline Perrell re SEC Interview -- PLEASE READ (Proskauer Janvey_00020077-00020078)	2/6/2009
285.				Typed note s- Stanford International Bank / Attorney Notes / Tier #3 / February 6, 2009 / Total \$6.259 Bn (Proskauer Janvey_00015915-00015924)	2/6/2009
286.				2/7/09 emails between Thomas Sjoblom, Juan Rodriguez-Tolentino re Performance Figures (Proskauer Janvey_00020102-00020104;	2/7/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

				00014374-00014377)	
287.				Folder entitled Stanford Financial Group 66955.001 / SEC Investigation / Attorney Notes / February 7, 2009 (Proskauer_Janvey_00010030-00010039)	2/7/2009
288.				Typed notes - T/C w/Kevin Edmundson / Sunday February 8, 2009 / 11:30 a.m. (Proskauer_Janvey_00000575)	2/8/2009
289.				Typed notes - REDACTED - T/C w/M Alvarado / Sunday February 8, 2009 / 1:30 pm (Proskauer_Janvey_00000576-00000578)	2/8/2009
290.				2/7/09-2/9/09 emails between Thomas Sjoblom and Laura Holt re SEC --Fort Worth (Proskauer_Janvey_00020107-00020108; 00020179-00020182)	2/7/2009 - 2/9/2009
291.				2/8/09 emails between Thomas Sjoblom, Mauricio Alvarado, Danny Bogar, Juan Rodriguez-Tolentino, Lena Stinson, James Davis, Allen Stanford re Recommendations re SIB CDs (Proskauer_Janvey_00000762-00000763)	2/8/2009
292.				Typed notes - T/C w/Allen Stanford and Mauricio Alvarado / Monday Morning / February 9, 2009 (Proskauer_Janvey_00000580)	2/9/2009
293.				2/9/09 email from Thomas Sjoblom to Mauricio Alvarado re Laura's Presentation (Proskauer_Janvey_00020190)	2/9/2009
294.				2/9/09 memo to file from Thomas Sjoblom and Jacqueline Perrell re SEC Investigation / In re Stanford Group Company (FW-2973) (Proskauer_Janvey_00029906)	2/9/2009
295.				2/10/09 emails between Thomas Sjoblom, Mauricio Alvarado, Jacqueline Perrell, Juan Rodriguez-Tolentino, Danny Bogar, Lena Stinson re More Issues / Thomas Sjoblom's role as outside counsel to company (Proskauer_Janvey_00020214, 00028693-00028694)	2/10/2009
296.				2/09/09 - 2/10/09 emails between Laura Holt, Mauricio Alvarado, Thomas Sjoblom Allen Stanford re Laura's Presentation (Proskauer_Janvey_00020222-00020229)	2/9/2009 - 2/10/1009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
297.				OMITTED	
298.				2/10/09 emails between Thomas Sjoblom, Kevin Edmundson, Mauricio Alvarado re Stanford Subpoenas (Proskauer Janvey_00020230)	2/10/2009
299.				2/10/09 emails from Thomas Sjoblom to Allen Stanford and Mauricio Alvarado re Laura's Presentation and Sjoblom's email to Kevin Edmundson re Laura Holt Presentation (Proskauer Janvey_00020239; 00020464)	2/10/2009
300.				2/9/09-2/10/09 emails between Thomas Sjoblom, Mauricio Alvarado, Allen Stanford, Laura Holt re Laura's Presentation (Proskauer Janvey_00020466-00020468)	2/9/2009 - 2/10/2009
301.				2/9/09-2/10/09 emails between Thomas Sjoblom, Mauricio Alvarado, Allen Stanford, Laura Holt, re Laura's Presentation (CASN00069-CASN00073)	2/9/2009 - 2/10/2009
302.				2/10/09 emails between James Davis and Thomas Sjoblom re Laura Holt's Presentation (CASN00075-CASN00076)	2/10/2009
303.				2/10/09 email from Cynthia Herron to Jacqueline Perrell re Telephone Messages - Tom Sjoblom (do not erase the messages) (Proskauer Janvey_00026869)	2/10/2009
304.				OMITTED	
305.				2/11/09 email from Thomas Sjoblom to Jacqueline Perrell re Internal Memos to File re Laura's power point presentation (Proskauer Janvey_00020623)	2/11/2009
306.				2/09/09 - 2/11/09 emails between Thomas Sjoblom, Mauricio Alvarado, Danny Bogar, Allen Stanford, James Davis re More Issues/role of outside counsel (Proskauer_Janvey_00006297-00006301)	2/09/2009 - 2/11/2009
307.				2/9/09 - 2/11/09 emails between Danny Bogar, Mauricio Alvarado, Juan Rodriguez-Tolentino, Thomas Sjoblom re More Issues / Internal	2/9/2009 - 2/11/2009

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				Investigation and Self Reporting (Proskauer Janvey 00020588-00020594)	
308.				Typed notes - T/C with Stanford People / Wednesday, February 11, 2009, 10 am STAN P CAST 0002819)	2/11/2009
309.				Typed notes - T/C Lena Stinson / Wednesday Morning / February 11, 2009 (STAN P CAST 0002823)	2/11/2009
310.				2/11/09 email from Thomas Sjoblom to Allen Stanford and James Davis re communication with Kevin Edmundson as to dmd for testimony of Davis and Stanford (Proskauer Janvey 00021538)	2/11/2009
311.				2/12/09 email from Thomas Sjoblom to Thomas Sjoblom re Withdrawal of (Proskauer Janvey_0000 as counsel to Stanford Financial and affiliated companies (Proskauer Janvey 00021611)	2/12/2009
312.				2/14/09 email from Thomas Sjoblom to Kevin Edmundson disaffirming all prior rpns made to SEC while at Chadborne & Parke and Proskauer Rose / 2/12/09 letter from Thomas Sjoblom to Kevin Edmundson advising Proskauer withdrew as counsel to Stanford (Proskauer Janvey 00000605-00000606)	2/12/2009 / 2/14/2009
313.				2/17/09 memo to file from Thomas Sjoblom and Jacqueline Perrell re withdrawal of Proskauer Rose from representation of Stanford (Proskauer Janvey 00029910)	2/17/2009
314.				2/18/09 memo to file from Thomas Sjoblom regarding request to Mauricio Alvarado to use Laura Holt PowerPoint slides / Allen Stanford's approval of same (Proskauer Janvey 00009988)	2/18/2009
315.				2/18/09 memo to file from Thomas Sjoblom regarding SEC Investigation / In re Stanford Group Company - Thomas Sjoblom's understanding of problems about the financial statements (Proskauer Janvey_00029946-00029948)	2/18/2009
316.				10/13/06 email from Mauricio Alvarado to Rebecca Hamric regarding NASD CD inquiry /	10/13/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				Thomas Sjoblom's comments (STAN P CAST 0029361)	
317.				Typed Notes - Meeting with M. Alvarado / Miami / Friday, February 6, 2009 @5:30 pm (Proskauer_Janvey_00000570); Group Meeting / Friday Morning 9:30 pm [sic] / February 6, 2009 / Stanford Offices (Proskauer_Janvey_00000566-00000567)	2/6/2009
318.				Typed Notes - Stanford Group Companies / Meeting at SFG / Jan 23 and 24, 2007 (SJOBLOM 000330-000337)	1/23/2007 - 1/24/2007
319.				Stanford Group Company Attorney Notes / SEC Investigation / Federal Reserve Board Investigation - December 19, 2006-February 23, 2009 (Proskauer_Janvey_00000538-00000600)	12/19/2006-2/23/2009
320.				Outline - Stanford Group Company (Broker-Dealer) (Proskauer_Janvey_00017153-00017177) UNDATED	Undated
321.				Disclosure Statement - U.S. Accredited Investor Certificate of Deposit Program (Proskauer_Janvey_00005673-00005696); Subscription Agreement Investor Questionnaire (Proskauer_Janvey_00005642-00005650)	10/4/2005
322.				Stanford Brochure / Investment Philosophy (Proskauer_Janvey_00005042-00005048) UNDATED	Undated
323.				Stanford Training and Marketing Manual - Updated 6/24/05 (Proskauer_Janvey_00008678-00008702)	6/24/2005
324.				Stanford International Bank Brochure (Proskauer_Janvey_00014907-00014922) UNDATED	Undated
325.				OMITTED	
326.				Typed Notes - questions re high returns offered by SIB CDs-concerned they are too good to be true, with answers, who are reports made available to, conference calls	Undated

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				(Proskauer_Janvey_00015937-00015939) UNDATED	
327.				Typed Notes - Characteristics of a Prime Bank Schemes (Proskauer_Janvey_00030052) UNDATED	Undated
328.				Typed Notes - SIM (Stanford's propriety investment model developed by SFG's Strategic Investment Committee) (Proskauer_Janvey_00015908-00015909) UNDATED	Undated
329.				OMITTED	
330.				OMITTED	
331.				Handwritten notes - JMD - LP - RAS ??? / PM - JAS - Other US SIB Directors / Computers - physically destroy no hard drive information / Blackberry -physically destroy - no SIM card / IT confirm nothing held in server after downloading / statements of any kind, any acct opening of documentation linking SIB to Stanford moved to Antigua, etc. (STANFORD BRYANT 199383-199384, plus additional pages not Bates numbered) UNDATED	Undated
332.				Stanford Venture Capital Holdings, Inc / SIBL Investment Portfolio as of 12/31/08 (Proskauer_Janvey_00014371-00014373)	12/31/2008
333.				Agenda - SGC Training Group - Visit to Antigua 12/2/08-12/4/08; handwritten notes re FSRC; Agenda - SGC Training Group - Visit to Antigua 10/11/06-10/13/06; handwritten notes re PowerPoint slides; typed notes re Stanford Intl Bank / Due Diligence Trip / 7/30/07-9/2/07, signed by Bernerd Young;	10/11/2006 - 10/13/2006 7/30/2007 - 9/2/2006 12/2/2008 -

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				(Proskauer_Janvey_00013623-00013672)	12/4/2008
334.				Ronald Rossi v. Ideal Advertising Group and Stanford Financial Group; No. 2007-23789 (Harris County District Court) 4/7/17 Original Petition (STAN ALVARADO 0000142-0000149); Lawrence De Maria v Stanford Financial Group Company and IDEA Advertising Group; No. 06-05417 (11th Circuit Court, Miami-Dade Co., Florida) 11/16/06 Plaintiff's Memorandum of Law in Opposition to Defendants' Motion for Summary Judgment (STAN ALVARADO 0000494-0000525)	11/16/2006 4/7/2017
335.				Leyla M. Basaboita's Statement of Answer and Statement of Counterclaim; In the Matter of the Arbitration Between Stanford Group Company and Leyla M. Basagoitia; No. 03-02025; National Association of Securities Deals, Inc. (STAN ALVARADO 000001-000010)	10/14/2003
336.				11/18/2002 email from Jane Bates to Mauricio Alvarado re SEC/Cross Trades Issue	11/18/2002
337.				1/23/06 emails between Lena Stinson and Rebecca Hamric re NASD letter (STAN P CAST 0024921)	1/23/2006
338.				9/19/08 memo from Rebecca Hamric to Bernerd Young re Common Ownership (STAN P CAST 0022786-002789)	9/19/2008
339.				OMITTED	
340.				2/5/09 emails between Mauricio Alvarado, James Davis, Lena Stinson and Juan Rodriguez-Tolentino re "Our Meetings Yesterday February 3, 2009I"; typed draft letter to "Mark and Juan" re concerns regarding proposal not being goiod idea from a regulatory standpoint (STAN P CAST 0006823-0006824)	2/5/2009
341.				OMITTED	
342.				7/30/06 fax to Leroy King re revised draft letter (STAN P CAST_0006911; STAN P	7/11/2006 - 7/31/2006

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				CAST_0007288); 7/11/06 fax from Nigel Street (Eastern Caribbean Central Bank) to Leroy King re Affiliates of Bank of Antigua Ltd. (STAN P CAST_0007289-0007312); draft 7/31/06 letter to Nigel Streete responding to 7/11/06 letter	
343.				8/1/06 emails between Mauricio Alvarado, Juan Rodriguez-Tolentino, Yolanda Suarez regarding FSRC Antigua (STAN P CAST_0007617)	8/1/2006
344.				8/1/06 emails between Mauricio Alvarado, Allen Stanford re FSRC Antigua (STAN P CAST_0007433-0007434)	8/1/2006
345.				Folder entitled RAS MATTERS / King, Leroy (STAN P CAST_0011109-0011153 / STAN ALVARADO 0004051-0004095)	8/2/2006
346.				5/14/07 memo from Leroy King to Lebrecht Hesse, Chairman FSCR re Corporate Governance and Organizational Behavior (STAN P CAST_0007687-0007688; 0006551; 0007685-0007686)	5/14/2007
347.				10/9/07 from LK to MA re Eustace Francis correspondence to HR Manager re Candidacy for position of Supervisor of International Banks & Trusts and resume (STAN P CAST_0007678-0007684)	10/9/2007
348.				Suggested revisions to proposed 10/3/08 letter from Leroy King to Lebrecht Hesse responding to 9/29/08 letter (STAN P CAST_0006606-0006607; 0006886-0006888)	10/10/2008
349.				11/18/02 email from Jane Bates to Mauricio Alvarado re SEC/Cross Trades Issue	11/18/2002
350.				1/13/03 emails between Mauricio Alvarado, Jane Bates re SEC Audit	1/13/2003
351.				OMITTED	
352.				OMITTED	
353.				6/1/04 emails between Jane Bates, AJ Rincon and Rep Poppell re Structure of Stanford Ventures / SGC Agreement (STAN P CAST_0020863)	6/1/2004
354.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
355.				6/8/04 emails between Rebecca Hamric and Mauricio Alvarado re SGC-SVCH/SIBL memo (STAN ALVARADO 0004166, STAN P CAST_0020856, STAN ALVARADO 0004167-0004171)	6/8/2004
356.				Folder entitled Stanford Group Company / Stanford International Bank Limited / Financial Consulting & Advisory Services Agreement Intercompany with emails and agreement (STAN P CAST_0020827-0020842)	11/17/2004 - 3/04/2005
357.				Financial Consulting and Advisory Services Agreement between Stanford International Bank Limited and Stanford Group Company (STAN P CAST_0020875-0020880)	12/1/2004
358.				Folder entitled Stanford Financial Group 66955.001 / SEC Investigation / Consulting Agreements containing 12/1/04 agreement and Stanford Merchant Banking Portfolio 2005-2006 (STAN P CAST_0002157-0002172)	12/1/04 / 2005 / 2006
359.				1/25/07 note from Rebecca Hamric to Juan forwarding 1/1/07 Amendment to consulting & advisory agreement (STAN P CAST_0020869, 0020865-0020866)	1/25/2007
360.				1/1/07 Amendment to Financial Consulting and Advisory Services Agreement (STAN P CAST_0029705-0029713)	1/1/2007
361.				Typed notes - Areas of Concern (prepared for Mauricio) re SGC-SIBL Financial Consulting & Advisory Services Agreement amended 1-1-07, emails, blank signed LOAs, compliance personnel bonuses, letters from clients, letters of instruction to SGC brokers, resignation letter from broker Mark Gensch complaining he was pressured to sell CD	1/1/2007
362.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
363.				OMITTED	
364.				OMITTED	
365.				5/9/01-5/11/01 emails between Diane Ambler, Dirk Peterson, Judith Bauerle-Berge, Rebecca Hamric re current state law (KL-STN-001938-001940)	5/9/2001 - 5/11/2001
366.				OMITTED	
367.				11/4/1998 fax to Diane Ambler re draft memo re analysis and recommendations re Investment Company Act of 1940 and SIBL (KL-STN-002191-002195)	11/4/1998
368.				9/25/01 memo from Kirkpatrick & Lockhart to Stanford Group Files re Domestic Offering of International CD (KL-STN-001109-001110)	9/25/2001
369.				Folder entitled Stanford Financial Group / Regulatory Advice / Stanford Int'l Bank - Correspondence / DC / Smith, D.W. containing 9/25/01 memo from Kirkpatrick & Lockhart to Jane Bates re "Bank" Exemption under Section 3(a)(2) of the Securities Act of 1933 (KL-STN-000615-000620; KL-STN-001103-001108)	9/25/2001
370.				OMITTED	
371.				OMITTED	
372.				OMITTED	
373.				OMITTED	
374.				OMITTED	
375.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
376.				10/29/01 memo from Kirkpatrick & Lockhart to Jane Bates re Transient Investment Company Status (KL-STN-002277-002287)	10/29/2001
377.				10/12/01 email from Fatima Sulaiman to Donald Smith, Diane Ambler, Dirk Peterson re Stanford International Follow-Up - exemptions and no-actions involving a foreign financial institution seeking exemption from all provisions of the 1940 Act (KL-STN-000624-000625)	10/12/2001
378.				OMITTED	
379.				OMITTED	
380.				Thomas Sjoblom profile / CV	Undated
381.				8/27/07 Proskauer Rose fee statement to Stanford Financial Group (Proskauer_Janvey_000336691-00033706)	8/27/2007
382.				Stanford presentation - Stanford Investment Model / SIM Philosophy with handwritten notations (Proskauer_Janvey_00033869-00033881) UNDATED	Undated
383.				7/26/06 memo from Thomas Sjoblom to Talbert Navia and Scott Balber re status of Stanford Financial Group Matters (Proskauer_Janvey_00034288); 7/3/06 email from Iris Acevedo to Navia Talbert and Thomas Sjoblom re 6/28/06 letter from Mauricio Alvarado's office re 6/20/06 invoice for services not requested (Proskauer_Janvey_00034289-00034300)	7/26/2006
384.				11/19/08 Proskauer Rose fee statement to Stanford Financial Group (Proskauer_Janvey_00034277-00034281)	11/19/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
385.				1/26/09 Proskauer Rose fee statement to Stanford Financial Group (Proskauer_Janvey_00034240-00034241)	1/26/2009
386.				8/23/05 engagement letter from Chadbourne & Parke to Mauricio Alvarado/Stanford Financial Group re representation of Stanford in SEC Investigation #MFW-2973 (Proskauer Janvey 00033949-00033951)	8/23/2005
387.				OMITTED	
388.				2/8/09 email from Thomas Sjoblom to Jacqueline Perrell re Antiguan law prohibiting disclosure of bank information (Receiver_Proskauer 017882-018282)	2/8/2009
389.				OMITTED	
390.				OMITTED	
391.				OMITTED	
392.				OMITTED	
393.				12/21/06 letter from Bernerd Young to Jennifer Brandt re subpoena to Stanford and document production (Proskauer_Janvey_00000784-00000787)	12/21/2006
394.				Text logs from 1/17/09 to 2/11/09	1/17/09 - 2/11/09
395.				Typed notes - Confidential Attorney/Client Information - Attorney David Finn: Situational Analysis--James M. Davis/Stanford et al - Meeting in Miami February 2-6, 2009	2/2/09-2/6/09
396.				7/31/08 emails between Mark Hosenball (Newsweek), Lula Rodriguez, Allen Stanford, Yolanda Suarez and James Davis re Newsweek Inquiry	7/31/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
397.				7/4/08 emails between Allen Stanford, Lula Rodriguez, Yolanda Suarez, Mauricio Alvarado re Stanford's participation in Monday's phone call and issues that "can have future repercussions [sic]"	7/4/2008
398.				Handwritten notes - flowchart - SIBL > Mktg, IT, Acctg, et al > SFG Co.	Undated
399.				2/6/09 email from Thomas Sjoblom to James Davis re wire payment instructions	2/6/2009
400.				2/4/09 emails between Jacqueline Perrell, Linda Yoder, Thomas Sjoblom, Michael King, Victoria Prescott, Lena Stinson, re SEC Interviews - Stanford Group Company	2/4/2009
401.				11/23/05 "Revised Statement No. 8109-1174" from Chadbourne & Parke to Stanford Financial Group	11/23/2005
402.				2/26/07 emails between James Davis, AJ Rincon, Danny Bogar re BDO Update on Audit Issues	2/26/2007
403.				Handwritten "Memorandum from...Dietrich L. Snell" re Tom Sjoblom / SEC investigation/ FINRA raids; CDs issued / \$90 B paid out in CDs in last 120 days	Undated
404.				9/25/06 letter from Elizabeth Jacobs (SEC) to Leroy King (FSRC) re follow up to 9/7/06 telephone call re SEC's investigation of Stanford and prior 6/9/05 letter to FSRC	9/25/2006
405.				Proskauer Rose business cards for Gregg Mashberg, Thomas Sjoblom and Kathy Rocklan; undated/unsigned Declaration of Thomas V. Sjoblom	Undated
406.				Handwritten notes "Laura Questions"	Undated
407.				1/21/09 letter from Juan Rodriguez-Tolentino to Leroy King (FSRC) requesting approval to provide financial information to U.S.	1/21/2009
408.				Typed notes 4/9/07 - 2/19/09 (with footer dated March 24, 2014, 11:30 am)	3/24/2014
409.				OMITTED	2/9/2009
410.				OMITTED	2/8/2009
411.				Typed notes 1/23/2007 and 1/24/2007—Stanford Group Companies - Meeting at SFG ("High Confidential") (Sjoblom 000331-000337)	1/23–1/24/2007

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	

412.				OMITTED	
413.				OMITTED	
414.				Broker/Dealer Examination Recap Report – Stanford Group Company SEC001155-SEC001172	8/31/2004
415.				OMITTED	
416.				OMITTED	
417.				Memorandum to Spender Barasch from Victoria Prescott, cc Hugh Wright and Julie Preuitt, Re: Stanford Group Company SEC001180 – SEC001185	3/14/2005
418.				OMITTED	
419.				OMITTED	
420.				OMITTED	
421.				OMITTED	
422.				OMITTED	
423.				OMITTED	
424.				OMITTED	
425.				OMITTED	
426.				OMITTED	
427.				OMITTED	
428.				OMITTED	
429.				OMITTED	
430.				OMITTED	
431.				OMITTED	
432.				OMITTED	
433.				OMITTED	
434.				OMITTED	
435.				OMITTED	
436.				OMITTED	
437.				OMITTED	
438.				OMITTED	
439.				OMITTED	
440.				OMITTED	
441.				OMITTED	
442.				OMITTED	
443.				Email string from Robert Long to Jeffrey Cohen and Jennifer Brandt, Re: Stanford SEC 001274	9/3/2005
444.				OMITTED	
445.				OMITTED	
446.				OMITTED	
447.				OMITTED	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

448.				OMITTED	
449.				OMITTED	
450.				OMITTED	
451.				OMITTED	
452.				OMITTED	
453.				OMITTED	
454.				OMITTED	
455.				OMITTED	
456.				OMITTED	
457.				OMITTED	
458.				Stanford Financial Group- SEC Investigation memo (Proskauer_Janvey_00000008)	Various 2005
459.				OMITTED	
460.				OMITTED	
461.				OMITTED	
462.				01/22/2007 Email fr Jackie Perrell to Jennifer Brandt, Thomas Sjoblom, and Rebecca Hamric re requests from the SEC subpoena	1/22/2007
463 a.				4/18/2002 Email fr Jane Bates to SGC employees, Allen Stanford, James Davis, Laura Pendergest, ben Finkelstein, Neal Willard, Juan Rodriguez, Oreste Tonarelli, and Linda Windfield re SIB Domestic Training	4/18/2002
463 b.				10/28/2008 Email between Laura Pendergest and Oreste Tonarelli re SIBQ Report re portfolio information	10/28/2008
464.				09/08/2005 Email fr Jane Bates to Lena Stinson and Thomas Sjoblom enc SGC Form and contents of the Sib Due Diligence File	9/8/2005
465.				(8/8/2005) Email fr Jane Bates to Thomas Sjoblom and Lena Stinson re SIB quarterly updates (Receiver/ Proskauer RT00002804)	8/8/2005
466.				(2/25/2009) USA v. Laura Pendergest- Holt - Affidavit of Special Agent Vanessa Walther	2/25/2009
467.				(1/8/2007) Email from Rebecca Hamric to Robert Kramer and Roman Becerra regarding Antiguan banking secrecy laws research	1/8/2007
468.				(4/9/2008) Email from Juan Rodriguez to Rebecca Hamric regarding clarification of language in CAS Hewlett request	4/9/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
469.				(7/15/2005) Email from Rebecca Hamric to Juan Rodriguez, Maya Khouly and Karen Campbell regarding the liquidators for Segoes' request for documents	7/15/2005
470 a.				(10/27/2004) Email fr Rep Poppel to various regarding SEC audit of SGC	
470 b.				(12/3/2003) Email fr Rebecca Hamric to Juan Rodriguez regarding clarification of questions of Disclosure Statement and Annual Report	10/27/2004
471.				NON- EXISTENT	
472.				(1/21/2009) Email fr Rebecca Hamric to Mauricio Alvarado and Lena Stinson enclosing SEC and FINRA timetables	1/21/2009
473.				(2/24/2006) Letter from Thomas Sjoblom to BDO Seidman, LLP regarding matters related to the examination of financial statements	2/24/2006
474.				(9/12/2005) Letter from SEC to Jay Comeaux regarding violations from the 2004 examination of SGC	9/12/2005
475.				OMITTED	
476.				(1/13/2006) Letter from Dallas District Office regarding inquiry about Certificates of Deposit issued by SIB (RECEIVER-PROSKAUER 065112)	1/13/2006
477.				OMITTED	
478.				OMITTED	
479.				(2/21/2007) Email from Danny Bogar to AJ Rincon re Carlos Ancira and Bernerd Young meeting with BDO Seidman	8/24/2007
480.				OMITTED	
481.				Characteristics of a Prime Bank Schemes (RECEIVER- PROSKAUER 018841)	6/9/2007
482.				(6/9/2007) Email between Danny Bogar and John Ward re meeting	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

483.				(6/12/2007) Email between Danny Young, Janet, Zobich, and Edward Zeleden re prospectus for offshore cd	6/12/2007
484.				(6/15/2007) Email between Bernerd Young and Pershing employees re disclosure documents and subscription agreement for the CD program	6/15/2007
485.				OMITTED	
486.				OMITTED	
487.				OMITTED	
488.				(8/27/2007) Email from John Ward to Danny Bogar, Charles Weiser, and Pershing employees requesting SIBL Portfolio Investment Policy Statement	8/27/2007
489.				(8/28/2007) Email between Danny Bogar and James Davis re Follow up to meeting in Memphis	8/28/2007
490.				OMITTED	
491.				(3/17/2008) Emails between John Ward, James Davis, and Danny Bogar re accounting review of bank's assets per Pershing	3/17/2008
492.				(3/26/2008) Email between John Ward, Charles Weiser, James Davis regarding certification of a qualified accounting firm	3/26/2008
493.				(4/22/2008) Email from John Ward to Danny Bogar re hiring firm for SIBL	4/22/2008
494.				(6/25/2008) Email from Danny Bogar to John Ward re proposal for Pershing/ SIBL project and SGH Audit from BDO	6/25/2008
495.				(8/13/2008) Email from Bernerd Young to Danny Bogar re SIBL Year End 2007 Financials	8/13/2008
496.				(8/20/2008) Email f John Ward to Danny Bogar and Charles Weiser re External review of Stanford International Bank Limited	8/20/2008
497.				(8/29/2008) Email from Danny Bogar to Mauricio Alvarado re Press reports relative to	8/29/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
				claims by former brokers and reported SEC investigations	
498.				OMITTED	
499.				OMITTED	
500.				(9/12/2008) Email from John Ward to Danny Bogar and Charles Weiser re proceeding in agreement with Grant Thornton	9/12/2008
501.				(10/9/2008) Email from Chuck Weiser to John Ward and Frank LaSalla re meeting with Grant Thornton	10/9/2008
502.				(10/21/2008) Email from Chuck Weiser to John Ward re agreement with Grant Thornton	10/21/2008
503.				OMITTED	
504.				(11/4/2008) Email between Chuck Weiser, Danny Bogar, and John Ward to set up a telephone conference with Grant Thornton	11/4/2008
505.				(11/24/2008) Email from John Ward to Danny Bogar re discussion about Grant Thornton agreement	11/24/2008
506.				(11/27/2008) Email between Mauricio Alvarado and Danny Bogar regarding progress on Grant Thornton issue	11/27/2008
507.				(12/14/2008) Email between Charles Weiser and Bernerd Young re Bank of Houston escrow agreement	12/14/2008
508.				(1/13/2009) Email from Glen Rigby to Mauricio Alvarado and Danny Bogar enclosing Revised Letter to Pershing from SGC re SIB decision	1/13/2009
509.				(1/13/2009) Drafted letter from Mauricio Alvarado re Pershing's decision regarding Stanford International Bank transfers	1/13/2009
510.				(6/9/2005) Letter from SEC to Leroy King requesting assistance of IFSRA in possible fraudulent Stanford scheme	6/9/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
511.				OMITTED	
512.				OMITTED	3/6/2012
513.				(6/14/2012) USA v. Robert Allen Stanford- order of Forfeiture at Sentencing	6/14/2012
514.				(6/1/2012) USA v. Robert Allen Stanford- Amended Order for Forfeiture	6/1/2012
515.				(2/22/2007) Email from Maggie Schiffer to Glen Rigby, Jason Green, JC Bradham, Timothy Vanderver enclosing Analysis of SIB by independent accountant	2/22/2007
516.				OMITTED	
517.				(2/23/2007) Email from Bernerd Young to Timonthy Vanderver, Maggie Schiffer, Mark Burke, JC Bradham, and Jason Green re response letter to rsp to accountant	2/23/2007
518.				(3/31/2002) "Antigua in the shadow of R. Allen Stanford"	3/31/2002
519.				OMITTED	
520.				OMITTED	
521.				(2/12/2009) Email from Lula Rodriguez enclosing article "Is Stanford Financial's Offer Too Good to be True?"	2/12/2009
522.				(2/4/2009) Email from Lula Rodriguez to Mauricio Alvarado, Brian Bertsch, Mauricio Alvarado, and Lena Stinson re Recommended press statement for FINRA inquiry	2/4/2009
523.				(1/11/2007) Email from David Becker enclosing engagement letter. Response from Allen Stanford re subject	1/11/2007
524.				(1/18/2018) Handwritten Diagram of Stanford Bank entities	1/18/2018
525.				(10/9/2013) "Ponzi Schemes" from SEC. gov	10/9/2013
526.				OMITTED	
527.				(8/15/2008) Email from Robert Kramer to	8/15/2008

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS

				Mauricio Alvarado and Rebecca Hamric regarding his confidential parting concerns	
528.				OMITTED	
529.				OMITTED	
530.				OMITTED	
531.				OMITTED	
532.				(9/13/2009) Email from Steven Krane to Janis West regarding resignation of Thomas Sjoblom	9/13/2009
533.				(9/14/2009) Email from Steven Krane to various enclosing Thomas Sjoblom's withdrawal letter	9/14/2009
534.				(4/6/2009) Email from Thomas Sjoblom to Bruce Fader, Gregg Mashberg, J Cole, and Robert Kafin regarding revised agreement	4/6/2009
535.				(12/16/2008) Email from Thomas Sjoblom to Robert DeLorimier regarding Projected Tax for 2009	12/16/2008
536.				(3/1/2009) Draft Agreement between Thomas Sjoblom and Proskauer Rose, LLP	3/1/2009
537.				(12/9/2008) Email between Thomas Sjoblom and Bruce Fader regarding urgent meeting in New York	12/9/2008
538.				(3/6/2009) Email from Robert Kafin to Thomas Sjoblom regarding drafting agreement prior to resignation	3/6/2009
539.				(12/31/2008) Email from Thomas Sjoblom to Allen Fagin regarding Holiday Message	12/31/2008
540.				(12/31/2008) Table from Karyl Van Tassel's report presenting Damage Testimony	12/31/2008
541.				(10/3/2017) Defendant Proskauer Rose LLP's Responses and Objections to Plaintiffs' First Request for Admission	10/3/2017
542.				Karyl Van Tassel's Summary of Data Related to Proskauer Declaration Damage Calculation	
543.				Karyl Van Tassel's Resume	

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
544				Charles Herring, Jr.'s Resume	
607.				Mintues from SIBL Board of Directors Meeting (STAN ALVARADO 0007308-0007310)	7/1/2004
609				Email from Rebecca Harmric to Juan Rodriguez-Tolentino, Jim Davis (cc Mauricio Alvarado) re: Revision to CD Disclosure Statement (STAN ALVARADO 0004265)	8/26/2005
622				Email from Robert Kramer to Allen Stanford (cc Yolanda Suarez & Jim Davis) (STAN RIGBY 0007784)	8/15/2008
647				Email exchange between Mauricio Alvarado and Richard LaMothe re: \$5M Contribution from Allen Stanford to SGH to SGC (STAN ALVARADO 0005650)	9/11/2007
648				Email from Richard LaMothe to Mauricio Alvarado re: SGH/SGC Capital Contribution (STAN ALVARADO 0014141-0014144)	3/11/2008
668				Email from Lisa Pennington to Mauricio Alvarado & Rebecca Hamric re: fax from Margie Harris (with fax attached, enclosing 5/12/2005 Letter from Harris to Hamric re: Letter to Ron Rossi (STAN ALVARADO 0006075-0006079)	5/13/2005
760				Antigua & Barbuda: Detailed Assessment of Compliance with Basel Core Principles for Effective Banking Supervisions (IMF Report) (STAN RINCON 0003591-0003625)	12/31/2004
780				Email from Rep Poppell to Bernerd Young, Mauricio Alvarado, Rebecca Hamric, Jane Bates, and Danny Bogar re: NASD CD Inquiry (attaching letter from NASD dated 9/27/2006) (STAN RIGBY 0007959-0007962)	10/2/2006
825				Email string among Mauricio Alvarado, Allen Stanford, and Julie Hodge re: SEC enforcement and engagement of Thomas Sjoblom (STAN ALVARADO 0013903-0013914)	6/8/2005

EXHIBIT LIST CONTINUATION

Ralph S. Janvey, et al. v. Proskauer Rose, LLP et al.				Civil Action No. 3:13-CV-0477-N-BG	
PLF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS	
842				Email from Juan Rodriguez-Tolentino to Allen Stanford (cc Mauricio Alvarado) re: FSRC Examination Report dated 3/7/2005 (with report attached) (STAN ALVARADO 0013416-0013465)	3/23/2006
949.				Collection of Shareholder Funding, Assumption of Debt and Notes Payable charts for Stanford entities from 2005 - 2007 (STAN ALVARADO 0013841-0013884)	2005 - 2007
950.				Spreadsheet entitled "Shareholder Funding, Assumption of debt and Notes Payable Acct. as of 12/31/05" (STAN ALVARADO 0014871)	12/31/2005
951.				Spreadsheet entitled "Shareholder Funding, Assumption of debt and Notes Payable Acct. as of 12/31/06 (Final)" (STAN ALVARADO 0014872)	12/31/2006
952.				Spreadsheet entitled "Shareholder Funding, Assumption of debt and Notes Payable Acct. as of 12/31/07 Final" (STAN ALVARADO 0014873)	12/31/2007
953.				Tier 3 \$6.3Bn: Private Equity Sectors and Asset Classes Pie chart (STAN ALVARADO 0013892)	6/30/2008
954.				Tier 3 \$6.3Bn: Private Equity Sectors and Asset Classes Pie chart (STAN ALVARADO 0013893)	6/30/2008
955.				SFG Receivership Investment Analysis (values as of 6/30/2008) (STAN ALVARADO 0014875)	2009

Dated April 24, 2018

Respectfully submitted,

NELIGAN LLP

By: /s/ Douglas J. Buncher
Douglas J. Buncher
Republic Center
325 N. St. Paul, Suite 3600
Dallas, Texas 75201
Telephone: (214) 840-5320
Facsimile: (214) 840-5301
dbuncher@neliganlaw.com

BAKER BOTTS L.L.P.

By: /s/ Kevin M. Sadler
Kevin M. Sadler
Scott D. Powers
David T. Arlington
98 San Jacinto Blvd., Suite 1500
Austin, Texas 78701
Telephone: (512) 322-2500
Facsimile: (512) 322-2501
kevin.sadler@bakerbotts.com
scott.powers@bakerbotts.com
david.arlington@bakerbotts.com

COUNSEL FOR THE RECEIVER

CASTILLO SNYDER

By: /s/ Edward C. Snyder
Edward C. Snyder
Jesse R. Castillo
700 N. St. Mary's, Suite 405
San Antonio, Texas 78205
Telephone: (210) 630-4200
Facsimile: (210) 630-4210
esnyder@casnlaw.com
jcastillo@casnlaw.com

STRASBURGER & PRICE, LLP

By: /s/ Judith R. Blakeway
Judith R. Blakeway
2301 Broadway
San Antonio, Texas 78215
Telephone: (210) 250-6004
Facsimile: (210) 258-2706
judith.blakeway@strasburger.com

STRASBURGER & PRICE, LLP

By: /s/ David N. Kitner
David N. Kitner
901 Main Street, Suite 4400
Dallas, Texas 75202
Telephone: (214) 651-4300
david.kitner@strasburger.com

COUNSEL FOR OSIC

CERTIFICATE OF SERVICE

I hereby certify that on the 24th day of April, 2018 I electronically filed the foregoing document with the Clerk of the Court, using the CM/ECF system, which will send notification of such filing to all counsel of record.

/s/ Douglas J. Buncher

Douglas J. Buncher